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Dkt. 54205-A-PCT-US/JPW/SHS/MVM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

15.... ER 1690/2909

Applicants

John O'Connor et al.

Serial No.

09/630,215

Filed

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August 1, 2000

Group Art Unit: 1641

For

METHODS FOR PREDICTING PREGNANCY OUTCOME IN

A SUBJECT BY hCG ASSAY

1185 Avenue of the Americas New York, New York 10036

November 9, 2000

Assistant Commissioner for Patents Washington, D.C. 20231

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Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following references which are listed on the PTO-1449 form attached hereto as Exhibit A.

- 1. US Patent No. 4,514,505 issued April 30, 1985 to Canfield et al.;
- 2. US Patent No.5,260,421 issued November 9, 1993 to Chappel et al.;
- 3. PCT International Application No. PCT/US99/02289, filed February 3, 1998, International Publication No. WO 99/41584, published August 19, 1999 on behalf of The Trustees of Columbia University in the City of New York;
- 4. Bogart et al., (1989) "Human Chorionic Gonadotropin Levels in Pregnancies with Aneuploid Fetuses", <u>Prenat.Diagn.</u> 9: 379-384;

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- 6. Cole et al., (1997) "Oligosaccharide Variants of hCG-related Molecules: Potential Screening Markers for Down Syndrome", <u>Prenat.Diagn.</u> 17: 1188-1190;
- 7. Cole et al., (1998) "Hyperglycosylated hCG, A Potential Alternative To hCG in Down Syndrome Screening", Prenat.Diagn. 18: 926-933;
- 8. Cole et al., (1997) "Urine β -Core Fragment, a Potential Screening Test for Ectopic Pregnancy and Spontaneous Abortion", Fetal.Diagn.Ther. 12: 336-339;
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 - 10. Kovalevskaya et al., (1999) "Early Pregnancy Human Chorionic Gonadotropin (hCG) Isoforms Measured By An Immunometric Assay For Choriocarcinoma-like hCG", <u>J.Endocrinol.</u> 161: 99-106;
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- 14. Valerio et al., (1996) "Maternal Serum Screening of Fetal Chromosomal Abnormalities by AFP, UE3, hCG and free-βhCG", Minerva.Ginecol. 48: 169-173;
- 15. Wald et al., (1994) "Four-Marker Serum Screening For Down's Syndrome", <u>Prenat.Diagn.</u> 14: 707-716;
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- 17. Zimmermann et al., (1996) "Age-Independent Indices in Second-Trimester Serum Screening for Down's Syndrome Are Useless", Prenat.Diagn. 16: 79-82;
- 18. Birken et al., (1993) "Separation of Nicked Human Chorionic Gonadotropin (hCG), Intact hCG, and hCG Beta Fragment From Standard Reference Preparations and Raw Urine Samples", Endocrinology 133: 1390-1397;
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- 21. Cole et al., (1993) "The Deactivation of hCG by Nicking and Dissociation", <u>J.Clin.Endocrinol.Metab.</u> 76: 704-710;
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- 28. O'Connor et al., (1988) "Development of Highly Sensitive Immunoassays to Measure Human Chorionic Gonadotropin, its Beta-subunit, and Beta Core Fragment in the Urine: Application to Malignancies", Cancer Res. 48: 1361-1366;
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- 36. Kovalevskaya et al., (1999) "Evaluation of Nicked Human Chorionic Gonadotropin Content in Clinical Specimens by a Specific Immunometric Assay", Clinical Chemistry 45(1):68-77;
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- 41. O'Connor et al., (1988) "Development of Highly Sensitive Immunoassays to Measure Human Chorionic Gonadotropin, Its β -subunit, and Core Fragment in Urine: Application to Malignancies", <u>Cancer Research</u> 38: 1361-1366.

The subject application is a continuation of and claims the benefit under 35 U.S.C. §120 of PCT International Application No. PCT/US99/02289, filed February 3, 1999, which is a continuation-in-part of and claims the benefit under U.S.C. §120 of U.S. Serial No. 09/017,976, filed February 3, 1998.

The above listed references 18-30 were submitted to and considered by the United States Patent and Trademark Office in an Information Disclosure Statement filed on April 13, 1999, in connection with U.S. Serial No. 09/017,976, filed February 3, 1998. Above listed references 1, 2, and 37-41 were submitted to and considered by the United States Patent and Trademark Office in a Supplemental Information Disclosure Statement filed on August 18, 2000, in connection with U.S. Serial No. 09/017,976, filed February 3, 1998. Also, above listed references 3, and 31-36 are concurrently being submitted to the United States Patent and Trademark Office in a Supplemental Information Disclosure Statement filed on November 9, 2000, in connection with U.S. Serial No. 09/017,976, filed February 3, 1998. Above listed

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references 4-17 are concurrently being submitted to the United States Patent and Trademark Office in an Information Disclosure Statement filed on November 9, 2000, in connection with U.S. Serial No. 09/311,428, filed May 13, 1999. Accordingly, under 37 C.F.R. §1.98(d) copies of references 1-41 are not required to be provided to the United States Patent and Trademark Office, since they were previously submitted to or cited by the United States Patent and Trademark Office in an application relied upon for an earlier filing date under 35 U.S.C. §120.

PCT International Application No. PCT/US99/02289, filed February 3, 1999, is a foreign counterpart application of the subject application. A Search Report was issued on September 13, 1999 connection with PCT International Application PCT/US99/02289, filed February 3, 1999. A copy of the Search Report is attached hereto as Exhibit B. Above listed references 1, 2, and 37-41 were cited in the Search Report. As stated above, under 37 C.F.R. §1.98(d) copies of these references are not required to be provided to the United States Patent and Trademark Office, since they were previously submitted to or cited by the United States Patent and Trademark Office in an application relied upon for an earlier filing date under 35 U.S.C. §120.

PCT International Application No. PCT/US00/13197, filed May 12, 2000, is a foreign counterpart application of the subject application. A Search Report was issued on August 11, 2000 in connection with PCT/US00/13197, filed May 12, 2000. A copy of the Search Report is attached hereto as Exhibit C. Above listed references 3, and 31-36 were cited in the Search Report. As stated above, under 37 C.F.R. §1.98(d) copies of these references are not required to be provided to the United States Patent and Trademark Office, since they were previously submitted to or cited by the United States Patent and Trademark Office in an

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application relied upon for an earlier filing date under 35 U.S.C. §120.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone at the number provided below.

Pursuant to 37 C.F.R. §1.97(b)(3), no fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

John P. White

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

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